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(Signature of Person/Mailing)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.

10/823,951

Confirmation No.:

5451

Inventor

Frank W. Daly, Jr.

Filed

April 13, 2004

25 TC/A.U.

2857

Examiner

Anthony Gutierrez

Docket No.

543-99-036 CIP

Customer No.:

00128

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Mail Stop: Amendment Commissioner for Patents

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### **Cover Sheet for**

#### Information Disclosure Statement Under 37 C.F.R. § 1.56

#### 40 Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, Applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-SB08 (copies of the cited non-US patent and patent application references are enclosed). As to any reference supplied, Applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or

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Page 1 of 2

Serial No.: 10/823,951

Information Disclosure dated: August 17, 2005

103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with Applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application.

5 Applicant believes that his invention is patentable. Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

The instant Information Disclosure Statement is being filed under the conditions set forth in 37 CFR § 1.97(d) after the period specified in 37 CFR § 1.97(c) but before payment of the issue fee and is accompanied by the following statement specified in 37 CFR § 1.97(e):

Each item of information contained in the instant Information Disclosure Statement was first cited in a search report prepared by the International Searching Authority in a counterpart application filed under the Patent Cooperation Treaty in a Search Report mailed on June 17, 2005, not more than three (3) months prior to the filing of the instant Information Disclosure Statement.

Additionally, the fee set forth in 37 CFR § 1.17(p) is enclosed herewith.

If the Examiner has questions or wishes to discuss any aspect of the case, the Examiner is encouraged to contact the undersigned at the telephone number given below.

Respectfully submitted,

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Attornev:

Charles J. Rupnick

Registration No.:

43.068

Date:

August 17, 2005

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Substitut	e for form 1449A/PT0	)	AUG 1 9 2005	Con	mplete if Known				
			P	Application Number	10/823,951				
INFC	RMATION	DIS	SOLOSURES	Filing Date	April 13, 2004				
STA	TEMENT B	Y A	PPLICANT	First Named Inventor	Frank W. Daly, Jr.				
				Art Unit	2857				
	(use as many shee	ts as	necessary)	Examiner Name	Anthony Gutierrez				
Sheet	1	of	1	Attomey Docket Number	543-99-036 CIP				

	U.S. PATENT DOCUMENTS								
Examiner Initials		Ducument Number. Number - Kind Code <sup>2</sup> (# <i>known</i>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Payes, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	1	us- 5,959,567	09-28-1999	Wolfson, et al.					
**	2	us- 5,262,782	11-16-1993	Rubin, et al.					
	3	us- 5,739,770	04-14-1998	Liden					
	4	us- 5,392,048	02-21-1995	Michie					
	5	us- 4,940,987	07-10-1990	Frederick					
	6	us- 5,198,819	03-30-1993	Susnjara					
************	7	us- 5,717,589	02-10-1998	Thompson, et al.					
	8	us- 4,811,230	03-07-1989	Graham, et al.	autoriani anti anti anti anti anti anti anti				
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if: English language Translation is attached.

PTO/SB/21 (04-04)
Approved for use through 07/31/2006. OMB 0651-0031

	2 200E		U.S. Patent	Approved for use through 07/31/2006. OMB 0651-0031 and Trademark Office; U.S. DEPARTMENT OF COMMERCE	
	Under the Harrendork IR Fluction Act of 1995.	no person:	s are required to respond to a collection Application Number	n of information unless it displays a valid OMB control number.  10/823,951	\
7	TRANSMETAL		Filing Date	April 13, 2004	_
· ~	TRANSMITTAL TRADETORM		First Named Inventor	Frank W. Daly, Jr.	_
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4	<u></u>		Attorney Docket Number	Anthony Gutierrez	_
84	Total Number of Pages in This Submission	6	Altorrey Docker Number	543-99-036 CIP	/
		ENCI	LOSURES (Check all that	apply)	
	X   Fee Transmittal Form   X   Fee Attached   Amendment/Reply   After Final   Affidavits/declaration(s)   Extension of Time Request   Express Abandonment Request   Information Disclosure Statement   Certified Copy of Priority Document(s)   Response to Missing Parts/Incomplete Application   Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s)	After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  2 Return Receipt Postcards	
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	Signaturo Date August 17, 200  I hereby certify that this correspondence is be	ERTIFIC eing facsin	CATE OF TRANSMISSION mile transmitted to the USPTO or dressed to: Commissioner for Pate	/MAILING  deposited with the United States Postal Service with ents, P.O. Box 1450, Alexandria, VA 22313-1450 on	
	Typed or printed name Charles J	. Rupn	nick	<del></del>	-
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This collection of information is required by 37 CFR 1.5. The information is sequired to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S. C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective 10/01/2003, Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

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Complete if Known						
Application Number	10/823,951					
Filing Date	April 13, 2004					
First Named Inventor	Frank W. Daly, Jr.					
Examiner Name	2857					
Art Unit	Anthony Gutierrez					
Attorney Docket No.	543-99-036 CIP					

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit card Money Other None	3. ADDITIONAL FEES						
Deposit Account:	Large E	ntity	Small	Entity			
Deposit	Fee Codo		Fee Codo	Fee- (\$)	Fee Description	Fee Paid	
Account Number	1051	130	2051		Surcharge - late filing fee or oath	T CC T GIG	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or		
Account Name	1053	130	1053	120	cover sheet Non-English specification		
The Director is authorized to: (check all that apply)	1812				For filing a request for ex parte reexamination		
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	•	Requesting publication of SIR prior to	,	
Charge any additional fee(s) or any underpayment of fee(s)					Examiner action		
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1005	1,040*	1005	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month		
Large Entity Small Entity	1253	950	2253	4/5	Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for repty within fifth month		
1002 340 2002 1/0 Design filing fee	1401	330	2401	165	Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable		
	1453	1,330	2453	665	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501		2501		Utility issue fee (or reissue)		
Extra Claims below Fee Paid Total Claims 200* = X	1502	480	2502		Design issue fee		
Total Claims	1503	640	2503		Plant issue fee		
Claims -3" - 1 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3 - 3	1460	130	1460		Petitions to the Commissioner		
	1807	50	1807		Processing fee under 37 CFR 1.17(q)	100:	
Large Entity   Small Entity Fee Fee   Fee Fee Fee Description	1806	180	1808		Submission of Information Disclosure Stmt	180	
Code (\$) Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20	1809	110	280		Filing a submission after final rejection		
1201 86 2201 43 Independent daims in excess of 3	1015				(37 CFR 1.129(a))		
1203 290   2203 145 Multiple dependent claim, if not paid 1204 86   2204 43 ** Reissue independent claims	1810	770	2810	J 385	For each additional invention to be examined (37 CFR 1.129(b))		
over original patent	1601	770	2601	385	Request for Continued Examination (RCE)		
1205 18 2206 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$)	Other fee (specify)						
**or number previously paid, if greater, For Reissues, see above	Redu	ced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	180	

SUBMITTED BY		(Complete (if applicable))			
Name (Print/Type)	Charles J. Rupnick	Registration No.	43,068	Telephone	206-439-7956
Signature	( Marlos ) ly			Date	August 17, 2005

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USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to obtain the complete, including gathering, preparing, and submitting the completed application (or to the USPTO. Time will vary depending upon the individual case. Any comments on Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.